# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

# **FORM SD**

**Specialized Disclosure Report** 

# OCEANEERING INTERNATIONAL, INC.

(Exact name of registrant as specified in its charter)



#### **Delaware**

(State or other jurisdiction of incorporation or organization) 1-10945

(Commission File Number)

95-2628227

(IRS Employer Identification No.)

11911 FM 529 Houston, TX

(Address of principal executive offices)

77041

(Zip Code)

David K. Lawrence Senior Vice President, General Counsel and Secretary (713) 329-4500

(Name and telephone number, including area code, of the person to contact in connection with this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2015.

# **Section 1 – Conflict Minerals Disclosure**

# **Item 1.01 Conflict Minerals Disclosure and Report**

#### **Conflict Minerals Disclosure**

A copy of this Form SD and the Conflict Minerals Report, filed as Exhibit 1.01 hereto, are publicly available on our Web site at <a href="http://www.oceaneering.com/investor-relations/conflict-minerals">http://www.oceaneering.com/investor-relations/conflict-minerals</a>.

#### Item 1.02 Exhibit

We have included as an exhibit to this Form SD the Conflict Minerals Report required by Item 1.01.

# Section 2 - Exhibits

#### **Item 2.01 Exhibits**

Exhibit 1.01 – Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form.

# **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

OCEANEERING INTERNATIONAL, INC.

By: /s/ David K. Lawrence

David K. Lawrence

Senior Vice President, General Counsel and Secretary

Date: May 31, 2016

# **EXHIBIT INDEX**

Exhibit 1.01 – Conflict Minerals Report

#### **Conflict Minerals Report**

#### I. Introduction

This Conflict Minerals Report (this "Report") of Oceaneering International, Inc. has been prepared pursuant to Rule 13p-1 promulgated by the U.S. Securities and Exchange Commission (the "SEC") under the Securities Exchange Act of 1934, as amended (together with Form SD adopted by the SEC pursuant to its Release No. 34-67716, the "Conflict Minerals Rule"), for the reporting period January 1, 2015 to December 31, 2015 (the "Reporting Period"). The Conflict Minerals Rule addresses disclosure of certain information when a SEC registrant manufactures or contracts to manufacture products and any one or more of the minerals specified in the Conflict Minerals Rule are necessary to the functionality or production of those products. The specified minerals are gold, as well as columbite-tantalite (coltan), cassiterite and wolframite and their respective derivatives tantalum, tin and tungsten (collectively, the "Covered Minerals"). As used in this Form SD, "we," "our" and "us" refer to Oceaneering International, Inc. and its consolidated subsidiaries.

We are a global oilfield provider of engineered services and products, primarily to the offshore oil and gas industry, with a focus on deepwater applications. Through the use of our applied technology expertise, we also serve the defense, entertainment and aerospace industries.

#### II. Reasonable Country of Origin Inquiry

As required by the Conflict Minerals Rule, we conducted in good faith a reasonable country of origin inquiry ("RCOI") that we believe was reasonably designed to determine whether any of the Covered Minerals that were necessary to the functionality or production of our Affected Products (defined below) originated from the Democratic Republic of the Congo (the "DRC") or any adjoining country.

Based on an annual assessment, we determined that Covered Minerals were or may have been necessary to the functionality or production of various products manufactured, or contracted to be manufactured, for sale by us during the Reporting Period (our "Affected Products"). The following is a description of our Affected Products:

- subsea umbilicals, umbilical termination assemblies and flying leads;
- tooling, ROV tooling and work packages;
- production control equipment;
- blowout preventer control systems;
- installation and workover control systems;
- clamp connectors;
- pipeline connector and repair systems;
- pipe lifting frames and other equipment marinized for use in subsea exploration and production;
- subsea and topside control valves;
- subsea chemical injection valves;
- hardware components used in offshore communication and asset tracking systems; and
- custom solutions and technologically advanced products for use in: theme park rides and show systems; naval research and vessel repairs and maintenance; and space exploration and other harsh environments.

We analyzed our supply base to identify active suppliers that might provide materials or components necessary to the functionality or production of our Affected Products (our "Applicable Suppliers"). We retained a third-party service provider to query our Applicable Suppliers using the Conflict Minerals Reporting Template (the "CMRT") developed by the Conflict-Free

Sourcing Initiative ("CFSI"). We evaluated and scored the risk presented by each smelter and refiner. Similarly, we evaluated and scored the risk presented by each Respondent (defined below) based on the smelters and refiners indicated, and due diligence program described, in the CMRT received from such Respondent. Our engagement with our Applicable Suppliers included the following steps:

- we sent an introductory communication to each of the Applicable Suppliers describing the compliance requirements, providing information on how to access the CMRT online and requesting completion of the CMRT;
- following that initial communication, we sent at least four reminder emails to each nonresponsive Applicable Supplier, further requesting completion of the CMRT;
- we attempted to reach out, via telephone, to Applicable Suppliers who remained nonresponsive, in order to offer
  additional assistance, including further information about the Conflict Minerals Rule, an explanation of why the
  information was being collected and a review of how the information would be used;
- we used automated data validation measures to identify questionable responses reflected in the submissions we received through the CMRT;
- we attempted to contact each Applicable Supplier whose CMRT submission contained one or more questionable response(s) for further validation; and
- where a CMRT response listed smelters and/or refiners, we compared the listed facilities to information in various lists maintained by CFSI and the London Bullion Market Association.

#### III. Due Diligence

We have implemented due diligence measures which (taken together with our RCOI) we believe conform, in all material respects with the Conflict Minerals Rule and with the *OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas*, Second Edition, an internationally recognized due diligence framework. The following is a discussion of our due diligence measures.

#### A. Establishment of Management Systems

In 2013, we adopted a conflict minerals policy statement, which is publicly available at <a href="http://www.oceaneering.com/vendors/conflict-minerals-policy-statement">http://www.oceaneering.com/vendors/conflict-minerals-policy-statement</a>. We also revised our standard purchase order terms and conditions, which are publicly available at <a href="http://www.oceaneering.com/vendors">http://www.oceaneering.com/vendors</a>.

Both our policy statement and our standard purchase order terms and conditions generally require our suppliers to provide us with products or materials that are "DRC conflict free" (as defined in the Conflict Minerals Rule), and our standard terms and conditions require our suppliers to notify us promptly in writing in the event that they have any reason to believe that such products or materials are not DRC conflict free. We also generally require that, subject to a limited exception for materials outside the supply chain prior to January 31, 2013, any of the Covered Minerals incorporated into such products or materials ultimately must be sourced from recycled or scrap sources or from one or more of the smelters or refiners appearing on the applicable compliant smelter and refiner list available at <a href="https://www.conflictfreesourcing.org">www.conflictfreesourcing.org</a>.

We also assembled an internal, cross-functional team to support supply chain due diligence and periodically report to our senior management regarding the development, implementation and effectiveness of our due diligence measures.

#### B. Identification and Assessment of Risks in the Supply Chain

Our RCOI included measures to identify and assess risks in our supply chain that any Covered Minerals necessary to the functionality or production of products manufactured, or contracted to be manufactured, for sale by us during the Reporting Period benefit armed groups in the DRC or any adjoining country.

As part of our due diligence process, we reviewed our suppliers' responses to the supply chain survey against criteria developed to determine which responses required further engagement. These criteria included untimely or incomplete responses, as well as inconsistencies within the reported data.

Certain of the responses to the surveys included the names of facilities listed by the suppliers as smelters or refiners. We

compared each facility listed in the responses to the lists of smelters and refiners maintained by CFSI to verify the existence of the facility and to determine whether the facility is certified as conflict-free.

We classify each listed smelter or refiner as high, medium or low risk based on three scoring criteria: recognition by CFSI, geographic proximity to the DRC or any adjoining country, and certification by CFSI as conflict-free. If any smelter or refiner is not recognized by CFSI, we conduct outreach and research to gain more information about whether they are a smelter or a refiner, sourcing practices, location, and country of origin. Additionally, if any smelter is not certified conflict-free, we conduct outreach providing education on the Conflict-Free Smelter Program and encouraging them to join this program.

#### C. Risk Management Plan

During the conduct of our RCOI, Applicable Suppliers received training, education and access to resources explaining the Conflict Minerals Rule, and all such communications were monitored and tracked for future reporting and transparency. Together with a number of other companies in our peer group, we sent letters to certain smelters urging each to contact CFSI for certification. Our RCOI, due diligence and plans for improvement described in this Report reflect our risk management plan in all material respects.

#### D. Independent Third-Party Audit

In accordance with the Conflict Minerals Rule, we were not required to obtain an independent private sector audit of this Report. Accordingly, no such audit has been obtained. To our knowledge, none of our Applicable Suppliers smelt or refine Covered Minerals, and we did not perform or direct any audits of entities within our supply chain.

#### E. Report on Supply Chain Due Diligence Findings

Approximately 42% of our Applicable Suppliers submitted responses to our queries described above (the "Respondents"). A majority of the Respondents reported data at a company or division level or indicated that they were uncertain or unknowledgeable of whether: (1) any of the Covered Minerals were necessary to the functionality or production of the products or materials they supplied to us; (2) the Covered Minerals, if any, necessary to the functionality or production of the products or materials they supplied to us originated from the DRC or an adjoining country; or (3) the Covered Minerals, if any, necessary to the functionality or production of the products or materials they supplied to us came from a recycler or scrap supplier.

We compared the names of smelters and refiners reported by the Respondents to CFSI's list. In this manner, we confirmed the names of 320 smelters or refiners reported by the Respondents. If a Respondent indicated that a smelter or refiner in its supply chain was certified as "Conflict-Free" under CFSI's Conflict Free Smelter Program, we confirmed such status using information from CFSI. Based on information received from the Respondents and validated against CFSI's information: (1) 216 smelters or refiners are considered Conflict-Free; (2) 47 smelters or refiners have begun but not completed the certification process; and (3) 57 smelters or refiners have not begun the certification process. Information about where many smelters and refiners source their materials is not generally available to the public. Attachment A, however, reflects such country-level information to the extent it is known or reported. Attachment A also includes the facility location and indicates whether a smelter or refiner is considered Conflict-Free. Attachment A omits facilities reported by the Respondents that have not been validated as a smelter or refiner. Attachment A may reflect smelters and refiners that processed Covered Minerals that our Applicable Suppliers supplied to their other customers but not us.

#### **III.** Determinations

Many of our Applicable Suppliers were nonresponsive and many of the Respondents were uncertain or provided data at a company or division level. Respondents may not have received accurate and complete information from their respective suppliers. We do not have access to audit reports or detailed findings of the third-party audits conducted as part of CFSI's Conflict-Free Smelter Program or the London Bullion Market Association's ("LBMA") Responsible Gold Programme. Audits conducted by CFSI and LBMA and the information received from the Respondents may yield inaccurate or incomplete conclusions. In summary, we were not able to establish chain of custody or determine whether any of the Covered Minerals used in our Affected Products originated from the DRC or an adjoining country. We were also unable to determine the facilities used to process the Covered Minerals necessary to the functionality or production of our Affected Products or the countries of origin of those Covered Minerals. Accordingly, we were unable to undertake any efforts beyond the RCOI and the due diligence described above to determine the mine or location of origin thereof.

#### IV. Plans for Improvement

Going forward, we plan to continue educating our supply base about the need to respond to our inquiries relating to Covered Minerals and conduct due diligence on their own suppliers, even if they themselves are not filers with the SEC. We intend to continue to engage with our Applicable Suppliers and direct them to increase the response rate and improve the content of the supplier survey responses, including by reporting at a product-specific level. We will continue to work closely with our Applicable Suppliers to obtain and verify their compliance with our conflict minerals policy and our standard purchase order terms and conditions, in an effort to mitigate the risk that any Covered Minerals necessary to the functionality or production of products manufactured, or contracted to be manufactured, for sale by us benefit armed groups in the DRC or any adjoining country. The statements made in the three immediately preceding sentences are forward-looking statements and, as such, are subject to the cautionary statements and disclaimers set forth under the caption "Cautionary Statement Concerning Forward-Looking Statements" in Item 1 of Part 1 of our Annual Report on Form 10-K for the year ended December 31, 2015.

# Attachment A

Smelter or Refiner Name	Smelter Facility Location	Conflict Free?
Advanced Chemical Company	UNITED STATES	
Aida Chemical Industries Co., Ltd.	JAPAN	Yes
Aktyubinsk Copper Company TOO	KAZAKHSTAN	
Al Etihad Gold Refinery DMCC	UNITED ARAB	
Allgemeine Gold-und Silberscheideanstalt A.G.	GERMANY	Yes
Almalyk Mining and Metallurgical Complex (AMMC)	UZBEKISTAN	
AngloGold Ashanti Córrego do Sítio Mineração	BRAZIL	Yes
Argor-Heraeus SA	SWITZERLAND	Yes
Asahi Pretec Corporation	JAPAN	Yes
Asahi Refining Canada Limited	CANADA	Yes
Asahi Refining USA Inc.	UNITED STATES	Yes
Asaka Riken Co., Ltd.	JAPAN	Yes
Atasay Kuyumculuk Sanayi Ve Ticaret A.S.	TURKEY	
Aurubis AG	GERMANY	Yes
Bangko Sentral ng Pilipinas (Central Bank of the Philippines)	PHILIPPINES	Yes
Bauer Walser AG	GERMANY	
Boliden AB	SWEDEN	Yes
C. Hafner GmbH + Co. KG	GERMANY	Yes
Caridad	MEXICO	
CCR Refinery - Glencore Canada Corporation	CANADA	Yes
Cendres + Métaux SA	SWITZERLAND	
Chimet S.p.A.	ITALY	Yes
Chugai Mining	JAPAN	
Daejin Indus Co., Ltd.	KOREA,	
Daye Non-Ferrous Metals Mining Ltd.	CHINA	
Do Sung Corporation	KOREA,	
DODUCO GmbH	GERMANY	Yes
Dowa	JAPAN	Yes
Eco-System Recycling Co., Ltd.	JAPAN	Yes
	Advanced Chemical Company  Aida Chemical Industries Co., Ltd.  Aktyubinsk Copper Company TOO  Al Etihad Gold Refinery DMCC  Allgemeine Gold-und Silberscheideanstalt A.G.  Almalyk Mining and Metallurgical Complex (AMMC)  AngloGold Ashanti Córrego do Sítio Mineração  Argor-Heraeus SA  Asahi Pretec Corporation  Asahi Refining Canada Limited  Asahi Refining USA Inc.  Asaka Riken Co., Ltd.  Atasay Kuyumculuk Sanayi Ve Ticaret A.S.  Aurubis AG  Bangko Sentral ng Pilipinas (Central Bank of the Philippines)  Bauer Walser AG  Boliden AB  C. Hafner GmbH + Co. KG  Caridad  CCR Refinery - Glencore Canada Corporation  Cendres + Métaux SA  Chimet S.p.A.  Chugai Mining  Daejin Indus Co., Ltd.  Daye Non-Ferrous Metals Mining Ltd.  Do Sung Corporation  DODUCO GmbH  Dowa	Advanced Chemical Company  Aida Chemical Industries Co., Ltd.  Aida Chemical Industries Co., Ltd.  Aktyubinsk Copper Company TOO  Aktyubinsk Copper Company TOO  Al Etihad Gold Refinery DMCC  Allgemeine Gold-und Silberscheideanstalt A.G.  Allgemeine Gold-und Silberscheideanstalt A.G.  Almalyk Mining and Metallurgical Complex (AMMC)  AngloGold Ashanti Córrego do Sítio Mineração  BRAZIL  Argor-Heraeus SA  Asahi Pretec Corporation  JAPAN  Asahi Refining Canada Limited  CANADA  Asahi Refining USA Inc.  UNITED STATES  Asaka Riken Co., Ltd.  JAPAN  Atasay Kuyumculuk Sanayi Ve Ticaret A.S.  TURKEY  Aurubis AG  Bangko Sentral ng Pilipinas (Central Bank of the Philippines)  Bauer Walser AG  GERMANY  Boliden AB  C. Hafner GmbH + Co. KG  GERMANY  Caridad  CCR Refinery - Glencore Canada Corporation  CANADA  Cendres + Métaux SA  Chimet S.p.A.  ITALY  Chugai Mining  Daejin Indus Co., Ltd.  CHINA  Do Sung Corporation  KOREA, REPUBLIC OF  DODUCO GmbH  GERMANY  Dowa  JAPAN

Metal	Smelter or Refiner Name	Smelter Facility Location	Conflict Free?
Gold	Elemetal Refining, LLC	UNITED STATES	Yes
Gold	Emirates Gold DMCC	UNITED ARAB EMIRATES	Yes
Gold	Faggi Enrico S.p.A.	ITALY	
Gold	Fidelity Printers and Refiners Ltd.	ZIMBABWE	
Gold	Gansu Seemine Material Hi-Tech Co., Ltd.	CHINA	
Gold	Geib Refining Corporation	UNITED STATES	
Gold	Great Wall Precious Metals Co., Ltd. of CBPM	CHINA	
Gold	Guangdong Jinding Gold Limited	CHINA	
Gold	Guoda Safina High-Tech Environmental Refinery Co., Ltd.	CHINA	
Gold	Hangzhou Fuchunjiang Smelting Co., Ltd.	CHINA	
Gold	Heimerle + Meule GmbH	GERMANY	Yes
Gold	Heraeus Ltd. Hong Kong	CHINA	Yes
Gold	Heraeus Precious Metals GmbH & Co. KG	GERMANY	Yes
Gold	Hunan Chenzhou Mining Co., Ltd.	CHINA	
Gold	Hwasung CJ Co., Ltd.	KOREA, REPUBLIC OF	
Gold	Inner Mongolia Qiankun Gold and Silver Refinery Share Company Limited	CHINA	Yes
Gold	Ishifuku Metal Industry Co., Ltd.	JAPAN	Yes
Gold	Istanbul Gold Refinery	TURKEY	Yes
Gold	Japan Mint	JAPAN	Yes
Gold	Jiangxi Copper Company Limited	CHINA	Yes
Gold	JSC Ekaterinburg Non-Ferrous Metal Processing Plant	RUSSIAN FEDERATION	Yes
Gold	JSC Uralelectromed	RUSSIAN FEDERATION	Yes
Gold	JX Nippon Mining & Metals Co., Ltd.	JAPAN	Yes
Gold	Kaloti Precious Metals	UNITED ARAB EMIRATES	
Gold	Kazakhmys Smelting LLC	KAZAKHSTAN	
Gold	Kazzinc	KAZAKHSTAN	Yes
Gold	Kennecott Utah Copper LLC	UNITED STATES	Yes
Gold	KGHM Polska MiedŸ Spó³ka Akcyjna	POLAND	
Gold	Kojima Chemicals Co., Ltd.	JAPAN	Yes
Gold	Korea Metal Co., Ltd.	KOREA, REPUBLIC OF	

Metal	Smelter or Refiner Name	Smelter Facility Location	Conflict Free?
Gold	Korea Zinc Co. Ltd.	KOREA, REPUBLIC OF	
Gold	Kyrgyzaltyn JSC	KYRGYZSTAN	
Gold	L' azurde Company For Jewelry	SAUDI ARABIA	
Gold	Lingbao Gold Company Limited	CHINA	
Gold	Lingbao Jinyuan Tonghui Refinery Co., Ltd.	CHINA	
Gold	LS-NIKKO Copper Inc.	KOREA, REPUBLIC OF	Yes
Gold	Luoyang Zijin Yinhui Gold Refinery Co., Ltd.	CHINA	
Gold	Materion	UNITED STATES	Yes
Gold	Matsuda Sangyo Co., Ltd.	JAPAN	Yes
Gold	Metahub Industries Sdn. Bhd.	MALAYSIA	
Gold	Metalor Technologies (Hong Kong) Ltd.	CHINA	Yes
Gold	Metalor Technologies (Singapore) Pte., Ltd.	SINGAPORE	Yes
Gold	Metalor Technologies (Suzhou) Ltd.	CHINA	
Gold	Metalor Technologies SA	SWITZERLAND	Yes
Gold	Metalor USA Refining Corporation	UNITED STATES	Yes
Gold	METALÚRGICA MET-MEX PEÑOLES, S.A. DE C.V	MEXICO	Yes
Gold	Mitsubishi Materials Corporation	JAPAN	Yes
Gold	Mitsui Mining and Smelting Co., Ltd.	JAPAN	Yes
Gold	MMTC-PAMP India Pvt., Ltd.	INDIA	Yes
Gold	Morris and Watson	NEW ZEALAND	
Gold	Moscow Special Alloys Processing Plant	RUSSIAN FEDERATION	Yes
Gold	Nadir Metal Rafineri San. Ve Tic. A.a.	TURKEY	Yes
Gold	Navoi Mining and Metallurgical Combinat	UZBEKISTAN	
Gold	Nihon Material Co., Ltd.	JAPAN	Yes
Gold	Ögussa Österreichische Gold- und Silber-Scheideanstalt GmbH	AUSTRIA	Yes
Gold	Ohura Precious Metal Industry Co., Ltd.	JAPAN	Yes
Gold	OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet)	RUSSIAN FEDERATION	Yes
Gold	OJSC Kolyma Refinery	RUSSIAN FEDERATION	
Gold	OJSC Novosibirsk Refinery	RUSSIAN FEDERATION	Yes
Gold	PAMP SA	SWITZERLAND	Yes

Metal	Smelter or Refiner Name	Smelter Facility Location	Conflict Free?
Gold	Penglai Penggang Gold Industry Co., Ltd.	CHINA	
Gold	Prioksky Plant of Non-Ferrous Metals	RUSSIAN FEDERATION	Yes
Gold	PT Aneka Tambang (Persero) Tbk	INDONESIA	Yes
Gold	PX Précinox SA	SWITZERLAND	Yes
Gold	Rand Refinery (Pty) Ltd.	SOUTH AFRICA	Yes
Gold	Republic Metals Corporation	UNITED STATES	Yes
Gold	Royal Canadian Mint	CANADA	Yes
Gold	SAAMP	FRANCE	
Gold	Sabin Metal Corp.	UNITED STATES	
Gold	Samduck Precious Metals	KOREA, REPUBLIC OF	
Gold	SAMWON Metals Corp.	KOREA, REPUBLIC OF	
Gold	SAXONIA Edelmetalle GmbH	GERMANY	
Gold	Schone Edelmetaal B.V.	NETHERLANDS	Yes
Gold	SEMPSA Joyería Platería SA	SPAIN	Yes
Gold	Shandong Tiancheng Biological Gold Industrial Co., Ltd.	CHINA	
Gold	Shandong Zhaojin Gold & Silver Refinery Co., Ltd.	CHINA	Yes
Gold	Sichuan Tianze Precious Metals Co., Ltd.	CHINA	Yes
Gold	Singway Technology Co., Ltd.	TAIWAN	Yes
Gold	So Accurate Group, Inc.	UNITED STATES	
Gold	SOE Shyolkovsky Factory of Secondary Precious Metals	RUSSIAN FEDERATION	Yes
Gold	Solar Applied Materials Technology Corp.	TAIWAN	Yes
Gold	Sudan Gold Refinery	SUDAN	
Gold	Sumitomo Metal Mining Co., Ltd.	JAPAN	Yes
Gold	T.C.A S.p.A	ITALY	Yes
Gold	Tanaka Kikinzoku Kogyo K.K.	JAPAN	Yes
Gold	The Refinery of Shandong Gold Mining Co., Ltd.	CHINA	Yes
Gold	Tokuriki Honten Co., Ltd.	JAPAN	Yes
Gold	Tongling Nonferrous Metals Group Co., Ltd.	CHINA	
Gold	Tony Goetz NV	BELGIUM	
Gold	Torecom	KOREA, REPUBLIC OF	

Metal	Smelter or Refiner Name	Smelter Facility Location	Conflict Free?
Gold	Umicore Brasil Ltda.	BRAZIL	Yes
Gold	Umicore Precious Metals Thailand	THAILAND	Yes
Gold	Umicore SA Business Unit Precious Metals Refining	BELGIUM	Yes
Gold	United Precious Metal Refining, Inc.	UNITED STATES	Yes
Gold	Valcambi SA	SWITZERLAND	Yes
Gold	Western Australian Mint trading as The Perth Mint	AUSTRALIA	Yes
Gold	WIELAND Edelmetalle GmbH	GERMANY	
Gold	Yamamoto Precious Metal Co., Ltd.	JAPAN	Yes
Gold	Yokohama Metal Co., Ltd.	JAPAN	Yes
Gold	Yunnan Copper Industry Co., Ltd.	CHINA	
Gold	Zhongyuan Gold Smelter of Zhongjin Gold Corporation	CHINA	Yes
Gold	Zijin Mining Group Co., Ltd. Gold Refinery	CHINA	Yes
Tantalum	Avon Specialty Metals Ltd	UNITED KINGDOM	
Tantalum	Changsha South Tantalum Niobium Co., Ltd.	CHINA	Yes
Tantalum	Conghua Tantalum and Niobium Smeltry	CHINA	Yes
Tantalum	D Block Metals, LLC	UNITED STATES	Yes
Tantalum	Duoluoshan	CHINA	Yes
Tantalum	E.S.R. Electronics	UNITED STATES	
Tantalum	Exotech Inc.	UNITED STATES	Yes
Tantalum	F&X Electro-Materials Ltd.	CHINA	Yes
Tantalum	FIR Metals & Resource Ltd.	CHINA	Yes
Tantalum	Global Advanced Metals Aizu	JAPAN	Yes
Tantalum	Global Advanced Metals Boyertown	UNITED STATES	Yes
Tantalum	Guangdong Zhiyuan New Material Co., Ltd.	CHINA	Yes
Tantalum	Guizhou Zhenhua Xinyun Technology Ltd., Kaili branch	CHINA	
Tantalum	H.C. Starck Co., Ltd.	THAILAND	Yes
Tantalum	H.C. Starck GmbH Goslar	GERMANY	Yes
Tantalum	H.C. Starck GmbH Laufenburg	GERMANY	Yes
Tantalum	H.C. Starck Hermsdorf GmbH	GERMANY	Yes
Tantalum	H.C. Starck Inc.	UNITED STATES	Yes

Metal	Smelter or Refiner Name	Smelter Facility Location	Conflict Free?
Tantalum	H.C. Starck Ltd.	JAPAN	Yes
Tantalum	H.C. Starck Smelting GmbH & Co.KG	GERMANY	Yes
Tantalum	Hengyang King Xing Lifeng New Materials Co., Ltd.	CHINA	Yes
Tantalum	Hi-Temp Specialty Metals, Inc.	UNITED STATES	Yes
Tantalum	Jiangxi Dinghai Tantalum & Niobium Co., Ltd.	CHINA	Yes
Tantalum	Jiangxi Tuohong New Raw Material	CHINA	Yes
Tantalum	JiuJiang JinXin Nonferrous Metals Co., Ltd.	CHINA	Yes
Tantalum	Jiujiang Tanbre Co., Ltd.	CHINA	Yes
Tantalum	Jiujiang Zhongao Tantalum & Niobium Co., Ltd.	CHINA	Yes
Tantalum	KEMET Blue Metals	MEXICO	Yes
Tantalum	KEMET Blue Powder	UNITED STATES	Yes
Tantalum	King-Tan Tantalum Industry Ltd.	CHINA	Yes
Tantalum	LSM Brasil S.A.	BRAZIL	Yes
Tantalum	Metallurgical Products India Pvt., Ltd.	INDIA	Yes
Tantalum	Mineração Taboca S.A.	BRAZIL	Yes
Tantalum	Mitsui Mining & Smelting	JAPAN	Yes
Tantalum	Molycorp Silmet A.S.	ESTONIA	Yes
Tantalum	Ningxia Orient Tantalum Industry Co., Ltd.	CHINA	Yes
Tantalum	Plansee SE Liezen	AUSTRIA	Yes
Tantalum	Plansee SE Reutte	AUSTRIA	Yes
Tantalum	QuantumClean	UNITED STATES	Yes
Tantalum	Resind Indústria e Comércio Ltda.	BRAZIL	Yes
Tantalum	RFH Tantalum Smeltry Co., Ltd.	CHINA	Yes
Tantalum	Solikamsk Magnesium Works OAO	RUSSIAN FEDERATION	Yes
Tantalum	Taki Chemicals	JAPAN	Yes
Tantalum	Telex Metals	UNITED STATES	Yes
Tantalum	Tranzact, Inc.	UNITED STATES	Yes
Tantalum	Ulba Metallurgical Plant JSC	KAZAKHSTAN	Yes
Tantalum	XinXing HaoRong Electronic Material Co., Ltd.	CHINA	Yes
Tantalum	Yichun Jin Yang Rare Metal Co., Ltd.	CHINA	Yes

Metal	Smelter or Refiner Name	Smelter Facility Location	Conflict Free?
Tantalum	Zhuzhou Cemented Carbide	CHINA	Yes
Tin	Alpha	UNITED STATES	Yes
Tin	An Thai Minerals Company Limited	VIET NAM	
Tin	An Vinh Joint Stock Mineral Processing Company	VIET NAM	
Tin	Chenzhou Yun Xiang mining limited liability company	CHINA	
Tin	China Tin Group Co., Ltd.	CHINA	Yes
Tin	CNMC (Guangxi) PGMA Co., Ltd.	CHINA	
Tin	Cooperativa Metalurgica de Rondônia Ltda.	BRAZIL	Yes
Tin	CV Ayi Jaya	INDONESIA	Yes
Tin	CV Dua Sekawan	INDONESIA	
Tin	CV Gita Pesona	INDONESIA	Yes
Tin	CV Serumpun Sebalai	INDONESIA	Yes
Tin	CV Tiga Sekawan	INDONESIA	
Tin	CV United Smelting	INDONESIA	Yes
Tin	CV Venus Inti Perkasa	INDONESIA	Yes
Tin	Dowa	JAPAN	Yes
Tin	Electro-Mechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock Company	VIET NAM	
Tin	Elmet S.L.U. (Metallo Group)	SPAIN	Yes
Tin	EM Vinto	BOLIVIA	Yes
Tin	Estanho de Rondônia S.A.	BRAZIL	
Tin	Feinhütte Halsbrücke GmbH	GERMANY	
Tin	Fenix Metals	POLAND	Yes
Tin	Gejiu Fengming Metalurgy Chemical Plant	CHINA	
Tin	Gejiu Kai Meng Industry and Trade LLC	CHINA	
Tin	Gejiu Non-Ferrous Metal Processing Co., Ltd.	CHINA	Yes
Tin	Gejiu Yunxin Nonferrous Electrolysis Co., Ltd.	CHINA	
Tin	Gejiu Zili Mining And Metallurgy Co., Ltd.	CHINA	
Tin	Guanyang Guida Nonferrous Metal Smelting Plant	CHINA	
Tin	HuiChang Hill Tin Industry Co., Ltd.	CHINA	
Tin	Huichang Jinshunda Tin Co., Ltd.	CHINA	

Metal	Smelter or Refiner Name	Smelter Facility Location	Conflict Free?
Tin	Jiangxi Ketai Advanced Material Co., Ltd.	CHINA	Yes
Tin	Linwu Xianggui Ore Smelting Co., Ltd.	CHINA	
Tin	Magnu's Minerais Metais e Ligas Ltda.	BRAZIL	Yes
Tin	Malaysia Smelting Corporation (MSC)	MALAYSIA	Yes
Tin	Melt Metais e Ligas S/A	BRAZIL	Yes
Tin	Metahub Industries Sdn. Bhd.	MALAYSIA	
Tin	Metallic Resources, Inc.	UNITED STATES	Yes
Tin	Metallo-Chimique N.V.	BELGIUM	Yes
Tin	Mineração Taboca S.A.	BRAZIL	Yes
Tin	Minsur	PERU	Yes
Tin	Mitsubishi Materials Corporation	JAPAN	Yes
Tin	Nankang Nanshan Tin Manufactory Co., Ltd.	CHINA	
Tin	Nghe Tinh Non-Ferrous Metals Joint Stock Company	VIET NAM	
Tin	O.M. Manufacturing (Thailand) Co., Ltd.	THAILAND	Yes
Tin	O.M. Manufacturing Philippines, Inc.	PHILIPPINES	Yes
Tin	Operaciones Metalurgical S.A.	BOLIVIA	Yes
Tin	Phoenix Metal Ltd.	RWANDA	
Tin	PT Alam Lestari Kencana	INDONESIA	
Tin	PT Aries Kencana Sejahtera	INDONESIA	Yes
Tin	PT Artha Cipta Langgeng	INDONESIA	Yes
Tin	PT ATD Makmur Mandiri Jaya	INDONESIA	Yes
Tin	PT Babel Inti Perkasa	INDONESIA	Yes
Tin	PT Bangka Kudai Tin	INDONESIA	
Tin	PT Bangka Prima Tin	INDONESIA	Yes
Tin	PT Bangka Timah Utama Sejahtera	INDONESIA	
Tin	PT Bangka Tin Industry	INDONESIA	Yes
Tin	PT Belitung Industri Sejahtera	INDONESIA	Yes
Tin	PT BilliTin Makmur Lestari	INDONESIA	Yes
Tin	PT Bukit Timah	INDONESIA	Yes
Tin	PT Cipta Persada Mulia	INDONESIA	Yes

Metal	Smelter or Refiner Name	Smelter Facility Location	Conflict Free?
Tin	PT DS Jaya Abadi	INDONESIA	Yes
Tin	PT Eunindo Usaha Mandiri	INDONESIA	Yes
Tin	PT Fang Di MulTindo	INDONESIA	
Tin	PT Inti Stania Prima	INDONESIA	Yes
Tin	PT Justindo	INDONESIA	Yes
Tin	PT Karimun Mining	INDONESIA	
Tin	PT Kijang Jaya Mandiri	INDONESIA	
Tin	PT Mitra Stania Prima	INDONESIA	Yes
Tin	PT Panca Mega Persada	INDONESIA	Yes
Tin	PT Pelat Timah Nusantara Tbk	INDONESIA	
Tin	PT Prima Timah Utama	INDONESIA	Yes
Tin	PT Refined Bangka Tin	INDONESIA	Yes
Tin	PT Sariwiguna Binasentosa	INDONESIA	Yes
Tin	PT Seirama Tin Investment	INDONESIA	
Tin	PT Stanindo Inti Perkasa	INDONESIA	Yes
Tin	PT Sukses Inti Makmur	INDONESIA	Yes
Tin	PT Sumber Jaya Indah	INDONESIA	Yes
Tin	PT Timah (Persero) Tbk Kundur	INDONESIA	Yes
Tin	PT Timah (Persero) Tbk Mentok	INDONESIA	Yes
Tin	PT Tinindo Inter Nusa	INDONESIA	Yes
Tin	PT Tirus Putra Mandiri	INDONESIA	
Tin	PT Tommy Utama	INDONESIA	Yes
Tin	PT Wahana Perkit Jaya	INDONESIA	Yes
Tin	Resind Indústria e Comércio Ltda.	BRAZIL	Yes
Tin	Rui Da Hung	TAIWAN	Yes
Tin	Soft Metais Ltda.	BRAZIL	Yes
Tin	Thaisarco	THAILAND	Yes
Tin	Tuyen Quang Non-Ferrous Metals Joint Stock Company	VIET NAM	
Tin	VQB Mineral and Trading Group JSC	VIET NAM	Yes
Tin	White Solder Metalurgia e Mineração Ltda.	BRAZIL	Yes

Metal	Smelter or Refiner Name	Smelter Facility Location	Conflict Free?
Tin	Yunnan Chengfeng Non-ferrous Metals Co., Ltd.	CHINA	
Tin	Yunnan Tin Group (Holding) Company Limited	CHINA	Yes
Tungsten	A.L.M.T. TUNGSTEN Corp.	JAPAN	Yes
Tungsten	ACL Metais Eireli	BRAZIL	
Tungsten	Asia Tungsten Products Vietnam Ltd.	VIET NAM	Yes
Tungsten	Chenzhou Diamond Tungsten Products Co., Ltd.	CHINA	Yes
Tungsten	Chongyi Zhangyuan Tungsten Co., Ltd.	CHINA	Yes
Tungsten	Dayu Jincheng Tungsten Industry Co., Ltd.	CHINA	
Tungsten	Dayu Weiliang Tungsten Co., Ltd.	CHINA	
Tungsten	Fujian Jinxin Tungsten Co., Ltd.	CHINA	Yes
Tungsten	Ganxian Shirui New Material Co., Ltd.	CHINA	
Tungsten	Ganzhou Huaxing Tungsten Products Co., Ltd.	CHINA	Yes
Tungsten	Ganzhou Jiangwu Ferrotungsten Co., Ltd.	CHINA	Yes
Tungsten	Ganzhou Non-ferrous Metals Smelting Co., Ltd.	CHINA	
Tungsten	Ganzhou Seadragon W & Mo Co., Ltd.	CHINA	Yes
Tungsten	Ganzhou Yatai Tungsten Co., Ltd.	CHINA	Yes
Tungsten	Global Tungsten & Powders Corp.	UNITED STATES	Yes
Tungsten	Guangdong Xianglu Tungsten Co., Ltd.	CHINA	Yes
Tungsten	H.C. Starck GmbH	GERMANY	Yes
Tungsten	H.C. Starck Smelting GmbH & Co.KG	GERMANY	Yes
Tungsten	Hunan Chenzhou Mining Co., Ltd.	CHINA	Yes
Tungsten	Hunan Chuangda Vanadium Tungsten Co., Ltd. Wuji	CHINA	Yes
Tungsten	Hunan Chuangda Vanadium Tungsten Co., Ltd. Yanglin	CHINA	
Tungsten	Hunan Chunchang Nonferrous Metals Co., Ltd.	CHINA	Yes
Tungsten	Hydrometallurg, JSC	RUSSIAN FEDERATION	Yes
Tungsten	Japan New Metals Co., Ltd.	JAPAN	Yes
Tungsten	Jiangwu H.C. Starck Tungsten Products Co., Ltd.	CHINA	
Tungsten	Jiangxi Dayu Longxintai Tungsten Co., Ltd.	CHINA	
Tungsten	Jiangxi Gan Bei Tungsten Co., Ltd.	CHINA	Yes
Tungsten	Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd.	CHINA	

Metal	Smelter or Refiner Name	Smelter Facility Location	Conflict Free?
Tungsten	Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd.	CHINA	
Tungsten	Jiangxi Xinsheng Tungsten Industry Co., Ltd.	CHINA	
Tungsten	Jiangxi Xiushui Xianggan Nonferrous Metals Co., Ltd.	CHINA	Yes
Tungsten	Jiangxi Yaosheng Tungsten Co., Ltd.	CHINA	
Tungsten	Kennametal Fallon	UNITED STATES	
Tungsten	Kennametal Huntsville	UNITED STATES	Yes
Tungsten	Malipo Haiyu Tungsten Co., Ltd.	CHINA	Yes
Tungsten	Moliren Ltd	RUSSIAN FEDERATION	
Tungsten	Niagara Refining LLC	UNITED STATES	Yes
Tungsten	Nui Phao H.C. Starck Tungsten Chemicals Manufacturing LLC	VIET NAM	Yes
Tungsten	Philippine Chuangin Industrial Co., Inc.	PHILIPPINES	Yes
Tungsten	Pobedit, JSC	RUSSIAN FEDERATION	
Tungsten	Sanher Tungsten Vietnam Co., Ltd.	VIET NAM	
Tungsten	Tejing (Vietnam) Tungsten Co., Ltd.	VIET NAM	Yes
Tungsten	Vietnam Youngsun Tungsten Industry Co., Ltd.	VIET NAM	Yes
Tungsten	Wolfram Bergbau und Hütten AG	AUSTRIA	Yes
Tungsten	Woltech Korea Co., Ltd.	KOREA, REPUBLIC OF	
Tungsten	Xiamen Tungsten (H.C.) Co., Ltd.	CHINA	Yes
Tungsten	Xiamen Tungsten Co., Ltd.	CHINA	Yes
Tungsten	Xinfeng Huarui Tungsten & Molybdenum New Material Co., Ltd.	CHINA	
Tungsten	Xinhai Rendan Shaoguan Tungsten Co., Ltd.	CHINA	Yes