Form **8937**

(December 2011)
Department of the Treasury
Internal Revenue Service

Report of Organizational Actions Affecting Basis of Securities

➤ See separate instructions.

OMB No. 1545-2224

| Part L Reporting I | ssuer | | | , |
|--|---------------------------------------|--|-----------------------------|--|
| 1 Issuer's name | | | | 2 Issuer's employer identification number (EIN) |
| , | | | | |
| Rockwood Holdings Inc. | | | | 52-2277366 |
| 3 Name of contact for additional information 4 Telephone No. of contact | | | | 5 Email address of contact |
| Nahla Azmy | | | 609-524-1101 | NAzmy@Rocksp.com |
| 6 Number and street (or P.O. box if mail is not delivered to street address) of contact | | | | 7 City, town, or post office, state, and Zip code of contact |
| | | | | |
| 100 Overlook Center | | | Princeton, NJ 08540 | |
| 8 Date of action | | 9 Class | ification and description | |
| March 22, 2013 | | Cash Dis | stribution | · |
| 10 CUSIP number | 11 Serial number | | 12 Ticker symbol | 13 Account number(s) |
| | | , | | |
| N/A | | | N/A | N/A |
| Part II Organization | onal Action Atta | ch additiona | statements if needed. S | See back of form for additional questions. |
| | | | | ate against which shareholders' ownership is measured for |
| | | | | ution of \$0.40 per share for a total of |
| \$31,137,542 to its shareho | iders of record on l | March 7, 2013 | . | |
| processor of the state of the s | | | | |
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| Name : 1 | | | | |
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| • | _ | | | urity in the hands of a U.S. taxpayer as an adjustment per Diper share is estimated to be a taxable |
| | | | | the stock basis in each share. To the extent the tax |
| | | | | nat share is recognized as capital gain. |
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| Name and the second sec | | | | |
| | - | | | ulation, such as the market values of securities and the s made by a corporation, it is treated as a taxable |
| | | | | of that corporation at the date of the distribution or, in |
| | | | | er to determine what portion of this cash distribution |
| | | | | rood Holdings Inc. completed a computation of E&P as |
| | | | | nber 31, 2013. According to this computation, |
| | · · · · · · · · · · · · · · · · · · · | | | ck. As a result, the distribution of \$0.40 per |
| share resulted in a divider | nd of \$0 per share (| with no effec | t on basis) and a reduction | n in basis of up to \$0.40 per share. |
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| Page 2 | |
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| Part I | | rganizational Action (continued) | |
|---|----------|--|--|
| | | | |
| 17 Lis | st the a | pplicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based > | |
| Section | 316 - | Dividend defined | |
| Section | 317 - | Property defined | |
| | | - Distributions of property | |
| | | Iffect on earnings and profits | |
| | | .1502-33 - Earnings and profits (this section applies to taxpayers filing a consolidated Federal co | rporate income tax return) |
| | | further defining adjustments to E&P that are not contained in section 312 | |
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| 18 Ca | an any | resulting loss be recognized? ► This distribution would not create a loss. | |
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| 19 Pr | rovida | any other information necessary to implement the adjustment, such as the reportable tax year ▶ The ad | instment to basis would be |
| | | ount in the tax year of the shareholder during which the distribution was received (e.g., 2013 for c | |
| tuncer | no acc | out the tax year of the state loads during which the distribution was received (e.g., 2010) to | solitina jour angejoroji |
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| | | | No. (1971) |
| | | penalties of perjury, I declare that have examined this return, including accompanying schedules and statements, a it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which prepar | |
| Ci | 96001 | it is true, contact, and compare a committee of property factor in the contact, to be a contact of the information of the contact of the cont | or had dify intownedge. |
| Sign Here | _ | | /22/1 |
| Here | Signa | ure Date Date Date | 23/13 |
| | | | / |
| | Print | our name ► Title ► Print/Type preparer's name Preparer's signature Date | DTA1 |
| Paid | | 12/7/ | Check if PTIN |
| Prepa | | 7,75 | self-employed P00634208 |
| Use C | Only | | Firm's EIN ▶ 86-1065772 |
| Send Fo | orm ao | Firm's address ► 111 South Wacker Drive, Chicago, IL 60606 7 (Including accompanying statements) to: Department of the Treasury, Internal Revenue Service, Ogde | Phone no. 312-486-1000 en. UT 84201-0054 |
| | _,,,, | - Annual of the state of the st | MIN W / WINCH COUT |

Form (December 2011) Department of the Trassury Internal Revenue Service

Report of Organizational Actions Affecting Basis of Securities

➤ See separate instructions.

OMB No. 1545-2224

| Paril Reporting I | ssuer | | | |
|--|--|--|--|--|
| 1 issuer's name | | | | 2 Issuer's employer identification number (EIN) |
| Doolowaad Haldings Inc | | | | 52-2277366 |
| Rockwood Holdings Inc. 3 Name of contact for additional information 4 Telep | | | e No. of contact | 5 Email address of contact |
| o Home of Someonio | zado/idz milot/itation | 4 Tuncphilos | o Hor or commun | |
| Nahla Azmy | | | 609-524-1101 | NAzmy@Rocksp.com |
| 6 Number and street (or P | O. box if mall is not | 7 City, town, or post office, state, and Zip code of contact | | |
| | | | | |
| 100 Overlook Center 8 Date of action | | Princeton, NJ 08540 | | |
| • nate of action | | p Class | ification and description | |
| June 19, 2013 | | Cach Die | stribution | |
| 10 CUSIP number | 11 Serial number | | 12 Ticker symbol | 13 Account number(s) |
| | | • • | | |
| N/A | | | N/A | N/A |
| | | | | ee back of form for additional questions. |
| | | | | ate against which shareholders' ownership is measured for |
| the action ▶ On Jun | e 19, 2013, Rockwo | ood Holdings | inc, made a cash distribut | ion of \$0.40 per share for a total of |
| \$30,698,165 to its shareho | lders of record on. | June 4, 2013. | | |
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| #19-14-15-16-16-16-16-16-16-16-16-16-16-16-16-16- | | 4. 10 01 - 1 - 100 - 11 - 1 - 1 - 1 | | |
| ************************************** | 9//AL-140-(ALE-3///III III. IV-1 | | | the state of the s |
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| share or as a percent | age of old basis ⊳ Ţ | he distributio | n per share was \$0.40. \$0 | rity in the hands of a U.S. taxpayer as an adjustment per |
| | | | | ne stock basis in each share. To the extent the tax |
| Dasis in a share is less tha | an SU.4U per Share, | ine excess o | 1 \$0.40 over the basis in th | at share is recognized as capital gain. |
| | | | The state of the s | dy particular de la company |
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| : | | | 4. Mart of the state of the sta | |
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| valuation dates ➤ Wh | en a cash distribut | ion (or other | distribution of property) Is | ulation, such as the market values of securities and the made by a corporation, it is treated as a taxable |
| dividend to the extent of t | he current and acc | umulated ear | nings and profits ("E&P") | of that corporation at the date of the distribution or, in |
| certain cases, at the end o | of the tax year in wi | nich the distr | ibution was made. In orde | r to determine what portion of this cash distribution |
| should be treated as a tax | able dividend vers | us return of c | apital/capital gain, Rockwo | ood Holdings Inc. completed a computation of E&P as |
| or December 31, 2012 with | n an estimate of the | current E&P | for the year ended Decem | ber 31, 2013. According to this computation, |
| | | | | ck, As a result, the distribution of \$0.40 per |
| Silvine resulted to a divide | ing or so het suate (| AMINITIO SHEC | a ou nasist aun a Lannettoi | n in basis of up to \$0.40 per share. |
| | | WALKER CONTRACTOR CONT | | |
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Send Form 8937 (Including accompanying statements) to: Department of the Treasury, Internal Revenue Service, Ogden, UT 84201-0054

Form (December 2011) Department of the Treasury Internal Revenue Service

Report of Organizational Actions Affecting Basis of Securities

► See separate instructions.

OMB No. 1545-2224

| Para Reporting I | ssuer | | | | |
|--|---|--------------------------------------|--|--|--|
| 1 Issuer's name | | | | 2 Issuer's employer identification number (EIN) | |
| | | | | | |
| Rockwood Holdings Inc. | | , | | 52-2277366 | |
| Name of contact for add | litional information | 4 Telephon | e No, of contact | 5 Email address of contact | |
| | | | | | |
| Nahla Azmy | C 1 | | 609-524-1101 | NAzmy@Rocksp.com 7 City, town, or post office, state, and Zip code of contact | |
| 6 Number and street (or F | .U. DOX II maii is not | delivered to s | treet address) of contact | 7 City, town, or post office, state, and ap code of contact | |
| and Owned all Common | | Princeton, NJ 08540 | | | |
| 8 Date of action 9 Classification and description | | | | (FINESTON, NO OCONO | |
| o bate of dottors | | | | | |
| September 26, 2013 | | Cash Dis | tribution | | |
| 10 CUSIP number | 11 Serial number(| | 12 Ticker symbol | 13 Account number(s) | |
| | | | | | |
| N/A | | | N/A | N/A | |
| हिनासी Organizatio | onal Action Attac | ch additional | statements if needed, S | ee back of form for additional questions. | |
| 14 Describe the organiza | tional action and, if a | applicable, the | date of the action or the da | ate against which shareholders' ownership is measured for | |
| the action ► On Sep | tember 26, 2013, R | ockwood Hol | dings Inc. made a cash di: | stribution of \$0.45 per share for a total of | |
| \$32,872,109 to its shareho | lders of record on ! | September 11 | , 2013. | | |
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| | | | | The state of the s | |
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| 15 Describe the quantitat | thin affort of the ora | anizational act | ion on the back of the secu | urity in the hands of a U.S. taxpayer as an adjustment per | |
| | | | | per share is estimated to be a taxable | |
| | | | | he stock basis in each share. To the extent the tax | |
| | | | | nat share is recognized as capital gain. | |
| D43/2 1/4 & 2/10/6 /2 1622 0/5 | 11 30.43 per 311are, | III EACESS OF | 30.40 Over the basis in th | ex anare to recognized as publicar don't | |
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| 1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1- | \$ \$4 4, \$10.000.000.000.000.000.000.000.000.000. | | | The second secon | |
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| 16 Describe the calculati | on of the change in | basis and the | data that supports the calc | ulation, such as the market values of securities and the | |
| valuation dates > Wh | en a cash distribut | ion (or other | distribution of property) is | made by a corporation, it is treated as a taxable | |
| | | | | of that corporation at the date of the distribution or, in | |
| | | | | r to determine what portion of this cash distribution | |
| | | | | ood Holdings Inc. completed a computation of E&P as | |
| | | | | ber 31, 2013. According to this computation, | |
| | | | | ck. As a result, the distribution of \$0.46 per | |
| share resulted in a dividend of \$0 per share (with no effect on basis) and a reduction in basis of up to \$0.45 per share. | | | | | |
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| Form 80° | 37 (Rev | 12-2011) | | | Page |
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| Part | | Organizational Action (con | tinued) | | ı aye |
| | <u> </u> | J. gameatona Abnon (con | unavay | | |
| 17 Li | ist the a | applicable Internal Revenue Code | section(s) and subsec | ction(s) upon which the | ne tax treatment is based ▶ |
| | | Dividend defined | | | |
| Section | 1 317 - | Property defined | | | |
| Section | 301(c |) - Distributions of property | | | |
| Section | 1 312 - | Effect on earnings and profits | -19H | i i i i i i i i i i i i i i i i i i i | |
| | | | | | a consolidated Federal corporate income tax return) |
| Other s | ection | s further defining adjustments t | to E&P that are not c | ontained in section 3 | 312 |
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| 18 C | tan anv | resulting loss be recognized? ▶ | This distribution wa | uid not create a loca | 3 |
| 10 0 | all ally | resulting loss be recognized: | This distribution we | did flot create a 1035 | |
| | · · · · · · · · · · · · · · · · · · · | | 1 | The state of the s | |
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| | | | | and the second s | |
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| | | | | | eportable tax year ▶ The adjustment to basis would be |
| taken ii | nto ac | count in the tax year of the shar | eholder during whic | h the distribution wa | is received (e.g., 2013 for calendar year taxpayers). |
| | | | | | And the state of t |
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| | Unde | r penalties of periusy I declare that I h | have examined this return | . Including accompanyir | ng schedules and statements, and to the best of my knowledge a |
| | belief | It is true, correct, and complete. Decl | aration of preparer (other | than officer) is based on | all information of which preparer has any knowledge. |
| Sign | | | | | / / |
| Here | Sions | iture > | | | Date > /2/23//3 |
| | Jigine | - Company of the Comp | | | |
| | Print | your name ▶ | | | Title▶ |
| Daid | 1 , 1111 | Print/Type preparer's name | Preparer's sig | nature | Date Check if PTIN |
| Paid | | 1 | 1 2/ | - The state of the | 1 /2/ (3/2) VIEW ["] |

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86-1065772

312-486-1000

Firm's E(N ▶

Phone no.

Preparer Michael D. Huston

Use Only

Firm's name ► Deloitte Tax LLP

Firm's address ▶ 111 South Wacker Drive, Chicago, IL 60606

Send Form 8937 (including accompanying statements) to: Department of the Treasury, Internal Revenue Service, Ogden, UT 84201-0054

Form (December 2011) Department of the Treasury Intornal Revenue Service

Report of Organizational Actions Affecting Basis of Securities

OMB No. 1545-2224

► See separate instructions.

| Parell Reporting Issuer | | |
|--|--|--|
| 1 Issuer's name | 2 Issuer's employer identification number (EIN) | |
| Physician et 3 fatelines Inc | | 52-22773 66 |
| Rockwood Holdings Inc. 3 Name of contact for additional information | 5 Email address of contact | |
| | 4 Telephone No. of contact | |
| Nahla Azmy | 609-524-1101 | NAzmy@Rocksp.com |
| 6 Number and street (or P.O. box if mail is no | 7 City, town, or post office, state, and Zip code of contact | |
| | | } |
| 100 Overlook Center | 9 Classification and description | Princeton, NJ 08540 |
| 8 Date of action | | |
| | | |
| December 3, 2013 10 CUSIP number 11 Serial number | (s) Cash Distribution (s) 12 Ticker symbol | 13 Account number(s) |
| 10 COSIP fidniber 11 Senai fibrilibei | (S) (Z FICKEI SYMIDOI | 10 Papague (Innipolity) |
| NA | N/A | N/A |
| Organizational Action Atta | ch additional statements if needed. See | |
| 14 Describe the organizational action and, if | applicable, the date of the action or the date | against which shareholders' ownership is measured for |
| | ckwood Holdings Inc. made a cash distrib | |
| \$32,933,406 to its shareholders of record on | | |
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| | | y in the hands of a U.S. taxpayer as an adjustment per |
| share or as a percentage of old basis > 1 | The distribution per share was \$0.45. \$0 p | er share is estimated to be a taxable |
| dividend (with no effect on basis). The rema | lining \$0.45 per share is a reduction in the | stock basis in each share. To the extent the tax |
| basis in a share is less than \$0.45 per share, | the excess of \$0.45 over the basis in that | share is recognized as capital gain, |
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| the second secon | | |
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| | t. Marriage de la constitución d | |
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| | | |
| 16 Describe the calculation of the change in | hasis and the data that supports the calcula | tion, such as the market values of securities and the |
| | | nade by a corporation, it is treated as a taxable |
| | | that corporation at the date of the distribution or, in |
| | _ | o determine what portion of this cash distribution |
| | | d Holdings Inc. completed a computation of E&P as |
| of December 31, 2012 with an estimate of the | e current E&P for the year ended Decembe | er 31, 2013. According to this computation, |
| Rockwood Holdings Inc. had approximately | \$0 of E&P per outstanding share of stock | . As a result, the distribution of \$0.45 per |
| share resulted in a dividend of \$0 per share | (with no effect on basis) and a reduction i | n basis of up to \$0.45 per share. |
| NAMES AND ADDRESS OF THE PROPERTY OF THE PROPE | | |
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| | | |
| | | |

Firm's EIN ►

Phone no.

86-1065772

312-486-1000

Firm's name ► Deloitte Tax LLP

Firm's address ▶ 111 South Wacker Drive, Chicago, IL 60606

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